

SEC Rule 606 Report - Westpark Capital Inc - 3rd Qtr, 2018

(Percentages of Total Non-Directed Orders Routed to Individual Route Venues)

Securities Listed on New York Stock Exchange Euronext

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	49.41	21.62	28.97
Citadel Securities	26.81	28.45	26.50	24.25
UBS Securities LLC	25.84	23.19	31.50	26.12
Goldman Sachs Exec&Clear	24.22	22.54	20.50	29.85
Canaccord Genuity Inc	22.92	25.82	21.50	19.03

100.00% of total customer orders were non-directed orders.

Securities Listed on The Nasdaq Stock Market

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	33.36	32.19	34.44
Citadel Securities	35.73	33.93	31.20	41.72
UBS Securities LLC	23.02	21.96	24.32	22.84
Canaccord Genuity Inc	20.44	21.85	23.62	16.10
Goldman Sachs Exec&Clear	19.52	22.16	20.64	15.90

100.00% of total customer orders were non-directed orders.

Securities Listed on NYSE MKT or other National Securities Exchange

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	18.17	21.90	59.93
UBS Securities LLC	28.10	26.71	22.68	30.51
Goldman Sachs Exec&Clear	26.75	19.25	29.38	28.06
Canaccord Genuity Inc	23.25	23.60	19.07	24.67
Citadel Securities	20.77	30.43	28.87	14.88

100.00% of total customer orders were non-directed orders.

Exchange-Listed Options

Route Venues	Total (%)	Market (%)	Limit (%)	Other (%)
Total	100.00	16.52	77.88	5.60
Citadel Securities	52.51	48.21	50.00	100.00
Susquehanna Investment Gr	47.49	51.79	50.00	0.00

100.00% of total customer orders were non-directed orders.

[Material Aspects of Relationship with Route Venues](#)
[How to read this report](#)

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