



REQUEST FOR DISPOSITION OF A
 NON-TRANSFERABLE WORTHLESS SECURITY

FORM B – PROCESS AS A TRADE

I. ACCOUNT INFORMATION

Account Number: _____

Account Title: _____

II. SECURITY INFORMATION

Description: _____

CUSIP Number: _____

Quantity: _____

I am currently the owner of the above security. I wish to sell the above position for total proceeds of one dollar for the entire position. Please effect my sale, which will generate a confirmation to me. I understand that Legent Clearing LLC will be the buyer in this transaction and that Legent Clearing LLC makes no representation about the value, if any, of this security.

I understand that this position may have no value or that the value is less than the cost of transacting a trade. I confirm that the removal of position from my account is final and irrevocable, and I am forgoing the possibility of gain in the event this security ever has any future value. I hereby waive any future claim I have concerning these securities.

Account Holder(s) Signature: _____ Date: _____

_____ Date: _____

Correspondent Signature: _____ Date: _____