



## Shareholder Disclosure To Issuers

Legent Clearing LLC (Legent) has numerous procedures and processes in place to safeguard the confidentiality of the client data on its books and records.

The Securities and Exchange Commission via Rule 17CFR 240 has afforded issuers of securities the opportunity to learn the identities and security positions of client holdings held in street name. According to this rule Legent would be required to disclose to an issuer the name and address of the shareholder as well as the security position if the holder has not objected to this disclosure.

Under the rule each shareholder has the right to decide whether they wish this information disclosed to the issuing company or not. The rule also provides that unless a shareholder specifically objects to the disclosure then they will be deemed to have consented to the disclosure.

This form is available to make an election as to whether an individual shareholder wishes to object to their information being disclosed. By marking the "Do Not Disclose" box, Legent will restrict the disclosure of information to the mailing of proxy and interim reports. No information will be provided to the issuing company since the proxy and interim mailings are provided by a third party provider contracted by Legent.

In order to object to the disclosure, please fill out the information requested below and fax the form to Legent's New Account Dept at 402-384-6143.

Do not disclose my/our name(s), address and security positions to the companies in which I/we own securities held in street name at Legent Clearing LLC.

Name: \_\_\_\_\_

Account #: \_\_\_\_\_

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

(If joint - both parties must sign)